

H^∞ Feedback Boundary Stabilization of 2D Navier-Stokes Equations

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Réunion EDP-MOSAR, 22-23 March 2007, Paris

Boundary stabilization problem for nonlinear NSE

Aim: To determine a Dirichlet boundary feedback control u robust with respect to disturbance w , so that

$$|y(t) - v|_{\mathbf{X}} \rightarrow 0 \quad \text{as } t \rightarrow \infty$$

where

$$\begin{aligned} \frac{\partial y}{\partial t} - \nu \Delta y + (y \cdot \nabla)v + (v \cdot \nabla)y + (y \cdot \nabla)y + \nabla p &= 0, \\ \operatorname{div} y &= 0 \text{ in } Q_\infty, \quad y(0) = y_0 \text{ in } \Omega \\ y &= Mu \text{ on } \Gamma_i \times (0, \infty), \quad y = w \text{ on } \Gamma_e \times (0, \infty) \end{aligned} \quad (1)$$

and v is regular, unstable solution of the stationary NSE,

$$\begin{aligned} -\nu \Delta v + (v \cdot \nabla)v + \nabla \chi &= f \\ \operatorname{div} v &= 0 \text{ in } \Omega, \quad v = u_s^\infty \text{ on } \Gamma_i \text{ and } v = w_s^\infty \text{ on } \Gamma_e. \end{aligned} \quad (2)$$

Linearized Boundary Stabilization Problem

To study the local feedback stabilization of system (1), we study the feedback stabilization of the corresponding linearized system

$$\begin{aligned} \frac{\partial y}{\partial t} - \nu \Delta y + (v \cdot \nabla) y + (y \cdot \nabla) v + \nabla p &= 0, \quad \operatorname{div} y = 0 \quad \text{in } Q_\infty, \\ y &= Mu \quad \text{on } \Gamma_i \times (0, \infty), \quad y = w \quad \text{on } \Gamma_e \times (0, \infty), \quad y(0) = y_0 \quad \text{in } \Omega. \end{aligned} \quad (3)$$

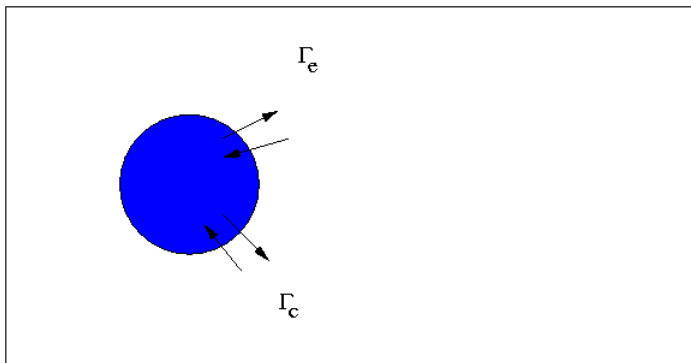
and show that the solution of the closed loop system with feedback law decays exponentially for y_0 and w small enough.

Questions

The important questions to be addressed are:

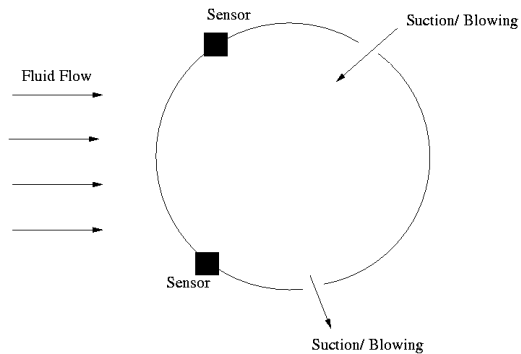
- Is the linearized system (3) stabilizable with point wise feedback law ?
- Can we find an equation characterizing K which can be solved by classical methods ?
- If K is a point wise feedback law able to stabilize system (3). Does K also stabilize the nonlinear system (1) for $|y_0|$ and $|w|$ small enough ?

Localized boundary controls



u_s a constant longitudinal velocity is given on Γ_e , w a disturbance on Γ_e , Γ_c is a part of the cylinder boundary

Estimation + feedback control



Plan of the talk

- Review of previous work
- Define H^∞ optimal control problem for linearized system
- To obtain point wise feedback law by solving infinite horizon problem
 - To solve finite horizon problem and get corresponding Differential Riccati Equation (DRE)
 - To pass to the limit and to get Algebraic Riccati equation (ARE)
- To show that feedback law stabilizes corresponding nonlinear system

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Previous work

- Stabilization of optimal control of NSE
 - Fursikov, Gunzburger, Hou
 - Barbu, Lasieka, Triggiani
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- H^∞ control problems
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H^∞ Optimal Control Problem

$$\sup_w \inf_u \{J_T(s, y, u, w) \mid (y, u, w) \text{ satisfies (4)}\}, \quad (\mathcal{P}_{s, \zeta}^T)$$

where

$$J_T(s, y, u, w) = \frac{1}{2} \int_s^T \int_{\Omega} |y|^2 + \frac{1}{2} \int_s^T \int_{\Gamma_i} |u(t)|^2 - \frac{\gamma}{2} \int_s^T \int_{\Gamma_e} |w(t)|^2,$$

$$\begin{aligned} \frac{\partial y}{\partial t} - \nu \Delta y + (v \cdot \nabla) y + (y \cdot \nabla) v + \nabla p &= 0, \quad \text{in } Q_{(s, T)}, \quad \text{div } y = 0 \\ y &= Mu \text{ on } \Gamma_i \times (s, T), \quad y = w \text{ on } \Gamma_e \times (s, T), \quad y(s) = \zeta \text{ in } \Omega. \end{aligned} \quad (4)$$

Functional framework

Let us introduce the following spaces:

$$H^s(\Omega; \mathbb{R}^N) = \mathbf{H}^s(\Omega), \quad L^2(\Omega; \mathbb{R}^N) = \mathbf{L}^2(\Omega)$$

Divergence free function spaces and corresponding trace spaces:

$$\mathbf{V}^s(\Omega) = \left\{ y \in \mathbf{H}^s(\Omega) \mid \operatorname{div} y = 0 \text{ in } \Omega, \langle y \cdot n, 1 \rangle_{H^{-1/2}(\Gamma), H^{1/2}(\Gamma)} = 0 \right\},$$

$$\mathbf{V}_n^s(\Omega) = \left\{ y \in \mathbf{H}^s(\Omega) \mid \operatorname{div} y = 0 \text{ in } \Omega, \mathbf{y} \cdot n = 0 \text{ on } \Gamma \right\} \text{ for } s \geq 0,$$

$$\mathbf{V}_0^s(\Omega) = \left\{ y \in \mathbf{H}^s(\Omega) \mid \operatorname{div} y = 0 \text{ in } \Omega, y = 0 \text{ on } \Gamma \right\} \text{ for } s > 1/2,$$

$$\mathbf{V}^s(\Gamma) = \left\{ y \in \mathbf{H}^s(\Gamma) \mid \langle y \cdot n, 1 \rangle_{H^{-1/2}(\Gamma), H^{1/2}(\Gamma)} = 0 \right\} \text{ for } s \geq -1/2.$$

Stokes and Oseen's operators

Let P be the orthogonal projector in $\mathbf{L}^2(\Omega)$ onto $\mathbf{V}_n^0(\Omega)$, and denote by $(A_0, D(A_0))$, $(A, D(A))$ and $(A^*, D(A^*))$ the unbounded operators in $\mathbf{V}_n^0(\Omega)$ defined by

- Oseen operator

$$D(A_0) = \mathbf{H}^2(\Omega) \cap \mathbf{V}_0^1(\Omega), \quad A_0 y = P \Delta y \quad \forall y \in D(A_0),$$

- Stokes operator

$$D(A) = \mathbf{H}^2(\Omega) \cap \mathbf{V}_0^1(\Omega), \quad A y = \nu P \Delta y - P((v \cdot \nabla) y) - P((y \cdot \nabla) v),$$

- Adjoint of Stokes operator

$$D(A^*) = \mathbf{H}^2(\Omega) \cap \mathbf{V}_0^1(\Omega), \quad A^* y = \nu P \Delta y + P((v \cdot \nabla) y) - P((\nabla v)^T y).$$

Dirichlet operators

- $D_c g = y$ and $D_p = q$ where (y, q) satisfies

$$\begin{aligned} \lambda_0 y - \nu \Delta y + (v \cdot \nabla) y + (y \cdot \nabla) v + \nabla q &= 0, \quad \text{in } \Omega, \\ \operatorname{div} y &= 0 \quad \text{in } \Omega, \quad y = g \quad \text{on } \Gamma_i, \end{aligned}$$

- Similarly for disturbance we define, $D_d h = y$ and $D_\rho = q$ where (y, q) satisfies

$$\begin{aligned} \lambda_0 y - \nu \Delta y + (v \cdot \nabla) y + (y \cdot \nabla) v + \nabla q &= 0, \quad \text{in } \Omega, \\ \operatorname{div} y &= 0 \quad \text{in } \Omega, \quad y = h \quad \text{on } \Gamma_e \end{aligned}$$

Moreover we define operators:

$$B_c g = (\lambda_0 I - A) P D_c g \quad B_d h = (\lambda_0 I - A) P D_d h$$

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Properties of operators

- $\lambda_0 > 0$ is an element in the resolvent set of A satisfying

$$((\lambda_0 I - A)y, y)_{\mathbf{V}_n^0(\Omega)} \geq \omega_0 |y|_{\mathbf{V}_0^1(\Omega)}^2 \quad \forall y \in D(A),$$

$$((\lambda_0 I - A^*)y, y)_{\mathbf{V}_n^0(\Omega)} \geq \omega_0 |y|_{\mathbf{V}_0^1(\Omega)}^2 \quad \forall y \in D(A^*),$$

for some $0 < \omega_0 < 1$.

- The operator D_c and D_d are bounded operators from $\mathbf{V}^0(\Gamma)$ into $\mathbf{V}^0(\Omega)$, and

$$\|D_c u\|_{\mathbf{V}^{s+1/2}(\Omega)} \leq C(s) \|u\|_{\mathbf{V}^s(\Omega)} \quad \forall 0 \leq s \leq 2$$

- B_c and B_d belong to $\mathcal{L}(\mathbf{V}^0(\Gamma), \mathbf{V}_n^0(\Omega))$.

Rewriting linearized NSE

We can rewrite equation (4) in the form

$$\begin{aligned} Py' &= APy + B_c Mu + B_d w \quad \text{in } (0, T), \quad y(0) = y_0, \\ (I - P)y &= (I - P)D_c Mu + (I - P)D_d w \quad \text{in } (0, T). \end{aligned}$$

and solve

$$\sup_w \inf_u \{ J_T(0, y, u, w) \mid u \in L^2((0, T) \times \mathbf{V}^0(\Gamma_i)), w \in L^2((0, T) \times \mathbf{V}^0(\Gamma_e)) \}$$

(\mathcal{P}_{0, y_0}^T)

Results for finite time horizon

Theorem

There exists a critical value $\gamma_T > 0$ such that for all $\gamma > \gamma_T$ the problem (\mathcal{P}_{0,y_0}^T) admits a unique solution (y_T, u_T, w_T) given by:

$$u_T = -MB_c^* \Phi_T, \quad w_T = \frac{1}{\gamma} B_d^* \Phi_T$$

where (y_T, Φ_T) is a unique solution of the system,

$$\begin{aligned} y' &= Ay + B_c M u + B_d w \text{ in } (0, T), \quad y(0) = y_0, \\ -\Phi' &= A^* \Phi + y \text{ in } (0, T), \quad \Phi(T) = 0. \end{aligned} \quad (5)$$

Results for finite time horizon

Theorem

Conversely for $\gamma > \gamma_T$, the system

$$\begin{aligned}
 Py' &= APy - B_c M^2 B_c^* \Phi + \frac{1}{\gamma} B_d B_d^* \Phi \text{ in } (0, T), \quad Py(0) = y_0 \quad (6) \\
 -\Phi' &= A^* \Phi + Py \text{ in } (0, T), \quad \Phi(T) = 0,
 \end{aligned}$$

admits a unique solution (Py_T, Φ_T) in $L^2(0, T; \mathbf{V}_n^0(\Omega)) \times (\mathbf{V}^{2,1}(Q_{s,T})) \cap L^2(0, T; \mathbf{V}_0^1(\Omega))$.

Characterization of γ_T

$$\gamma_T = \sup_{\|w\|_{L^2((0,T); \mathbf{v}^0(\Gamma_e))} = 1} \int_0^T \int_{\Gamma_e} \langle w, \Lambda_T w \rangle,$$

where

$$\Lambda_T w = B_d^* \Psi_T$$

and for fixed w , (z_T, Ψ_T) is a unique solution of

$$\begin{aligned} Pz' &= APz + B_c M^2 B_c^* \Psi + B_d w \quad \text{in } (0, T), \quad z(0) = 0, \\ -\Psi' &= A^* \Psi + Pz \quad \text{in } (0, T), \quad \Psi(T) = 0. \end{aligned} \quad (7)$$

Results for finite time horizon

Theorem

$$\begin{aligned} Py' &= APy + B_c Mu + B_d w \text{ in } (s, T), \quad y(s) = \zeta, \\ -\Phi' &= A^* \Phi + Py \text{ in } (s, T), \quad \Phi(T) = 0. \end{aligned} \quad (8)$$

The unique solution of above system, written as $(y_{s,\zeta}^T, \Phi_{s,\zeta}^T) \in \mathbf{V}^{1,1/2}(Q_{s,T}) \times L^2(s, T; \mathbf{V}^3(\Omega)) \cap \mathbf{V}_0^1(\Omega) \cap H^{3/2}(s, T; \mathbf{V}_n^0(\Omega))$.

The optimal solution for the problem $(\mathcal{P}_{s,\zeta}^T)$ is given by

$(y_{s,\zeta}^T, u_{s,\zeta}^T = -MB_c^* \Phi_{s,\zeta}^T, w_{s,\zeta}^T = \frac{1}{\gamma} B_d^* \Phi_{s,\zeta}^T)$ Moreover

$\Phi_{s,\zeta}^T \in C([s, T]; \mathbf{V}^2(\Omega) \cap \mathbf{V}_0^1(\Omega))$

Feedback Operator Π and DRE

Let $\Pi(s)$ be the operator defined by

$$\Pi(s) : \zeta \longmapsto \Phi_\zeta^s(s) \quad (9)$$

where $(Py_\zeta^s, \Phi_\zeta^s)$ is the unique solution of the system (8).

Riccati Equation: It can be shown that Π is the unique solution in $C_s([0, T]; \mathcal{L}(\mathbf{V}_n^0(\Omega)))$ to the Riccati equation

$$-\Pi'(t) = A^*\Pi(t) + \Pi(t)A - \Pi(t)B_c M^2 B_c^* \Pi(t) - \frac{1}{\gamma} \Pi(t) B_d B_d^* \Pi(t) + I,$$

$$\Pi(T) = 0, \quad \Pi^*(t) = \Pi(t) \text{ and } \Pi(t) \geq 0, \quad (10)$$

Feedback Operator Π and DRE

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$$\Pi(T) = 0, \quad \Pi^*(t) = \Pi(t) \text{ and } \Pi(t) \geq 0, \quad (10)$$

Problem Description

$$\sup_w \inf_u \{J(y, u, w) \mid (y, u, w) \text{ satisfies (11)}\}, \quad (\mathcal{P}_\infty)$$

where

$$J(y, u, w) = \frac{1}{2} \int_0^\infty \int_\Omega |y|^2 + \frac{1}{2} \int_0^\infty \int_{\Gamma_i} |u(t)|^2 - \frac{\gamma}{2} \int_0^\infty \int_{\Gamma_e} |w(t)|^2$$

and,

$$Py' = APy + B_c Mu + B_d w \text{ in } (0, \infty), \quad y(0) = y_0, \quad (11)$$

Main difficulties

- Is the minimization problem well posed ?
For all $w \in L^2(0, \infty; L^2(\Gamma_e))$ and $y_0 \in V_n^0(\Omega)$ there exists control u such that $y_{y_0, u, w} \in L^2(Q_\infty)$.
- Passing to the limit from finite horizon to infinite horizon!
- The sup inf problem is well posed the solution is obtained in the feed back form for optimal u and w .
But we cannot take optimal w in general as w is not known!!!

Well posedness of minimization problem

For

$$Py' = APy + B_c Mu + B_d w \quad \text{in } (0, \infty), \quad y(0) = y_0 \quad (12)$$

for fixed w solve the the minimization problem

$$\inf_u \left\{ I(y, u, \beta) \mid (y, u, w) \text{ satisfies (12), } u \in \mathbf{V}^{0,0}(\Sigma_\infty), \right\},$$

where $I(y, u, \beta) =$

$$\frac{1}{2} \int_0^\infty \int_\Omega |-(A_0)^{1/2} Py|^2 + \frac{1}{2} \int_0^\infty \int_{\Gamma_i} |u(t)|^2$$

This is well posed and so existence of a control for each w is guaranteed!

Passage to the limit

Let y_k, u_k, w_k be the optimal triplet in the interval $(0, k)$ with initial condition y_0 .

- Use previous known results to deduce that for fixed w, y_k and u_k are weakly convergent and depend linearly on w .
- Show that γ_k are monotonically increasing.
- Since Λ_k is bounded for each k , γ_k are bounded above.
- Define the limit of Λ_k to be Λ_∞ and

$$\gamma_\infty = \sup_{\|w\|_{L^2((0,\infty); \mathbf{V}^0(\Gamma_e))} = 1} \int_0^\infty \int_{\Gamma_e} \langle w, \Lambda_\infty w \rangle$$

Results for infinite time horizon

Theorem

There exists a critical $\gamma_\infty > 0$ such that for all $\gamma > \gamma_\infty$ and for all $y_0 \in V_n^0(\Omega)$ the problem (\mathcal{P}_∞) admits a unique solution $(y_\infty, u_\infty, w_\infty)$ where (y_∞, Φ_∞) is the unique solution of the system,

$$y' = Ay + B_c Mu + B_d w \text{ in } (0, \infty), \quad y(0) = y_0,$$

$$-\Phi' = A^* \Phi + y \quad \Phi(\infty) = 0.$$

and u_∞, w_∞ are characterized by

$$u_\infty = -MB_c^* \Phi_\infty, \quad w_\infty = \frac{1}{\gamma} B_d^* \Phi_\infty$$

Algebraic Riccati equation

We define feedback operator Π by

$$\Pi y(t) = \Phi(t) \quad \forall t \in (0, \infty)$$

It can be shown that Π satisfies algebraic Riccati equation given by:

$$A^* \Pi + \Pi A - \Pi B_c M^2 B_c^* \Pi + \frac{1}{\gamma} \Pi B_d B_d^* \Pi + I = 0,$$

$$\Pi^* = \Pi \in \mathcal{L}(\mathbf{V}_n^0(\Omega)) \quad \text{and} \quad \Pi \geq 0,$$

for all $\mathbf{y} \in \mathbf{V}_n^0(\Omega)$, $\Pi \mathbf{y} \in \mathbf{V}^2(\Omega) \cap \mathbf{V}_0^1(\Omega)$ and

$$|\Pi \mathbf{y}|_{\mathbf{V}^2(\Omega)} \leq C |\mathbf{y}|_{\mathbf{V}_n^0(\Omega)}$$

Theorem

The unbounded operator $(A_\Pi, D(A_\Pi))$ defined by:

$$D(A_\Pi) = \left\{ y \in \mathbf{V}_n^0(\Omega) \mid A - BM^2B^*\Pi + \frac{1}{\gamma}B_dB_d^*\Pi \in \mathbf{V}_n^0(\Omega) \right\},$$

$$A_\Pi y = Ay - B_cM^2B_c^*\Pi y + \frac{1}{\gamma}B_dB_d^*\Pi y \quad \text{for all } y \in D(A_\Pi),$$

is the infinitesimal generator of an analytic exponentially stable semi group on $\mathbf{V}_n^0(\Omega)$.

Result for arbitrary disturbance w

Consider Navier-Stokes equations with the linear feedback law Π :

$$\begin{aligned} Py' &= Ay - B_c M^2 B_c^* \Pi y + B_d w - P(y \cdot \nabla) y \quad \text{in } (0, \infty), \\ Py(0) &= y_0, \\ (I - P)y &= -(I - P)D_c M^2 B_c^* \Pi Py + (I - P)D_d w. \end{aligned} \tag{13}$$

Theorem

For all $w \in V^{1/2, 1/4}$, there exists $\mu_0 > 0$ and a nondecreasing function $\eta : \mathbb{R}^+ \rightarrow \mathbb{R}^+$, such that for $\mu \in (0, \mu_0)$ and $|y_0|_{\mathbf{V}_n^0(\Omega)} + \|w\|_{V^{1/2, 1/4}(\Sigma_e)} \leq \eta(\mu)$, the equation (13) admits a unique solution in the set

$$D_\mu = \left\{ y \in \mathbf{V}^{1, 1/4}(Q_\infty) \mid \|y\|_{\mathbf{V}^{1, 1/4}(Q_\infty)} \leq \mu \right\}.$$

Outline of the proof

Proof by fixed point method:

For $z \in \mathbf{V}^{1,1/4}(\Omega)$, we denote by y_z the solution to the equation

$$\begin{aligned} Py' &= Ay - B_c M^2 B_c^* \Pi y + B_d w + PF(z), & y(0) &= \mathbf{y}_0, \\ (I - P)y &= -(I - P)D_c M R_A^{-1} M B_c^* \Pi P y + (I - P)D_d B_d w \end{aligned} \quad (14)$$

We prove that the mapping $M : z \mapsto y_z$ is a contraction in D_μ . □

Thank You!